

Chapter 17: T-duality of closed strings**17.1: Lagrangian and Hamiltonian systems** that we are familiar with.

Duality: a transformation that relates two (very) different descriptions of the same physics. These transformations tend to be discrete. I don't know any systems where they are not.

What we will study here in string theory is T-duality: a theory on an $S^1(R)$ -compactified manifold is T-dual to a theory on $S^1\left(\frac{\alpha'}{R}\right)$. This says in a sense that there is a smallest possible radius in physics.

A similar duality, called S-duality, exists for the coupling constant g_s : $g_s \leftrightarrow \frac{1}{g_s}$. Small and large couplings are related. This is related to AdS/CFT.

You can also mix S and T-duality to get U-dualities (\sim M-theory!).

Dualities in some well-known systems:

1) Electromagnetism, with $j^\mu = 0$.

$$\begin{aligned}\nabla \cdot \mathbf{E} &= 0, & \nabla \times \mathbf{B} &= \frac{1}{c} \frac{\partial \mathbf{E}}{\partial t}, \\ \nabla \cdot \mathbf{B} &= 0, & \nabla \times \mathbf{E} &= -\frac{1}{c} \frac{\partial \mathbf{B}}{\partial t}.\end{aligned}$$

Duality: $(\mathbf{E}, \mathbf{B}) \rightarrow (-\mathbf{B}, \mathbf{E})$ gives back the same equations.

Comments: The equations of motion are duality invariant, or symmetric, but the Lagrangian is *not* invariant. The Hamiltonian is.

$$\mathcal{L} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} \sim \mathbf{E}^2 - \mathbf{B}^2$$

while $\mathcal{H} = \frac{1}{2}(\mathbf{E}^2 + \mathbf{B}^2) \rightarrow +\mathcal{H}$.

2) Harmonic oscillator

$$H = \frac{p^2}{2m} + \frac{kx^2}{2} \rightarrow H \quad \text{if} \quad \begin{cases} m \rightarrow \frac{1}{k}, \\ k \rightarrow \frac{1}{m}, \end{cases} \quad \begin{cases} p \rightarrow -x \\ x \rightarrow p \end{cases}$$

\Rightarrow Commutation relations are not changed. This is a canonical transformation.

17.2: Winding states in closed strings

Consider a closed string moving in a target space with one direction $x^{25} \equiv x \in S^1(R)$. $x \sim x + 2\pi R$. In principle we have a torus.

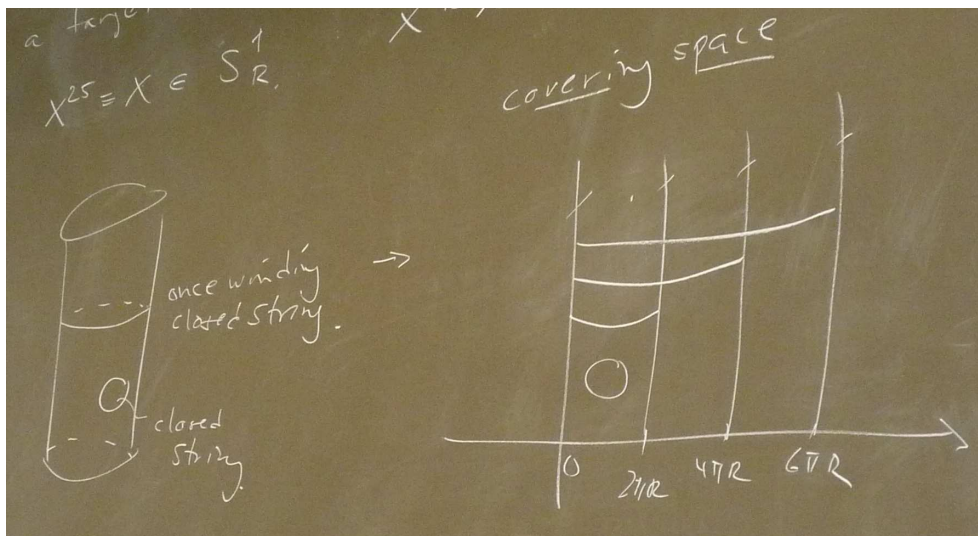


Figure 1.

These closed strings satisfy

$$x(\tau, \sigma + 2\pi) = x(\tau, \sigma) + m 2\pi R, \quad m \in \mathbb{Z}$$

m is called a winding number. Define another version of this number: winding $w = m R/\alpha'$ which has dimension $[w] = M$, as does momentum.

$$x(\tau, \sigma + 2\pi) = x(\tau, \sigma) + 2\pi\alpha' \cdot w$$

Expand x in modes.

$$\square x = 0 \quad \Rightarrow \quad x(\tau, \sigma) = x_L(\tau - \sigma) + x_R(\tau + \sigma) = x_L(u) + x_R(v)$$

Winding condition \Rightarrow

$$x_L(u + 2\pi) - x_L(u) = x_R(v) - x_R(v - 2\pi) + 2\pi\alpha' \cdot w$$

So ∂_u and $\partial_v \Rightarrow x'_L$ and x'_R are 2π -periodic. Integrate

$$\Rightarrow \begin{cases} x_L(u) = \frac{1}{2}x_{0,L} + \sqrt{\frac{\alpha'}{2}} \bar{\alpha}_0 u + i \sqrt{\frac{\alpha'}{2}} \sum_{n \neq 0} \frac{1}{n} \bar{\alpha}_n e^{-inu} \\ x_R(v) = \frac{1}{2}x_{0,R} + \sqrt{\frac{\alpha'}{2}} \alpha_0 v + i \sqrt{\frac{\alpha'}{2}} \sum_{n \neq 0} \frac{1}{n} \alpha_n e^{-inv} \end{cases}$$

Inserting this into the winding relation

$$\Rightarrow \quad \bar{\alpha}_0 - \alpha_0 = \sqrt{2\alpha'} w$$

Also

$$p = \frac{1}{2\pi\alpha'} \int_0^{2\pi} (\dot{x}_L + \dot{x}_R) d\sigma = \frac{1}{\sqrt{2\alpha'}} (\alpha_0 + \bar{\alpha}_0)$$

$\Rightarrow \alpha_0$ and $\bar{\alpha}_0$ (or p and w) are independent! We find

$$\begin{cases} p = \frac{1}{\sqrt{2\alpha'}} (\bar{\alpha}_0 + \alpha_0) \\ w = \frac{1}{\sqrt{2\alpha'}} (\bar{\alpha}_0 - \alpha_0) \end{cases} \quad \begin{cases} \alpha_0 = \sqrt{\frac{\alpha'}{2}} (p - w) \\ \bar{\alpha}_0 = \sqrt{\frac{\alpha'}{2}} (p + w) \end{cases}$$

So we can define independent left and right momenta

$$\begin{cases} p_L = p + w \\ p_R = p - w \end{cases}$$

Write

$$\begin{cases} x_{0,L} \equiv x_0 + q_0 \\ x_{0,R} \equiv x_0 - q_0 \end{cases}$$

$$x(\tau, \sigma) = x_L(u) + x_R(v) = x_0 + \alpha' p \tau + \alpha' w \sigma + \text{oscillators}(\alpha_n, \bar{\alpha}_n)$$

$[x_0, p] = i$: canonical pair. Canonical coordinate to $w = ?$

We need to understand the spectra of these (zero mode) operators:

- p : on a circle with radius r the translation operator is e^{-iap} (p is an operator here). Plug in $p = -i\partial_x$ and $e^{-iap} = e^{-a\partial_x}$.

$$e^{-iap}|x\rangle = e^{-iap}e^{-ixp}|0\rangle = |x+a\rangle$$

But on a circle $x \in [0, 2\pi R]$.

$$e^{-i2\pi R p} = 1.$$

The eigenvalues of the operator p are $n/R, n \in \mathbb{Z}$.

- w : Insert the mode expansion into the winding relation

$$\alpha' w(\sigma + 2\pi) = \alpha' w \sigma + m \cdot 2\pi R$$

$$\Rightarrow \boxed{w = m \frac{R}{\alpha'}, \quad m \in \mathbb{Z}}$$

“Double strike” in Zwiebach: When x is compactified from $\mathbb{R} \rightarrow S^1(R)$:

- 1) we lose states when p becomes discrete!
- 2) we gain states, namely the winding states!

17.5: Constraints and mass formulae

Light-cone: $I = 2, \dots, 25 = (i, (25))$.

$$\bar{L}_0^\perp = \frac{1}{2} \bar{\alpha}_0^I \bar{\alpha}_0^I + \bar{N}^\perp = \frac{\alpha'}{4} p^i p^i + \frac{1}{2} \bar{\alpha}_0 \bar{\alpha}_0 + \bar{N}^\perp$$

$$L_0^\perp = \frac{1}{2} \alpha_0^I \alpha_0^I + N^\perp = \frac{\alpha'}{4} p^i p^i + \frac{1}{2} \alpha_0 \alpha_0 + N^\perp$$

Level matching: $\bar{\alpha}_0^- = \alpha_0^-$ related to L_0^\perp by the constraint

$$\Rightarrow \bar{L}_0^\perp = L_0^\perp$$

$$\Rightarrow \frac{1}{2} \bar{\alpha}_0 \bar{\alpha}_0 + \bar{N}^\perp = \frac{1}{2} \alpha_0 \alpha_0 + N^\perp$$

Use

$$\begin{cases} \alpha_0 = \sqrt{\frac{\alpha'}{2}}(p - w) \\ \bar{\alpha}_0 = \sqrt{\frac{\alpha'}{2}}(p + w) \end{cases}$$

$$\Rightarrow N^\perp - \bar{N}^\perp = \alpha' p \cdot w$$

or in terms of eigenvalues

$$N^\perp - \bar{N}^\perp = m \cdot n$$

Mass formula:

$$M^2 = p^2 + w^2 + \frac{2}{\alpha'}(N^\perp + \bar{N}^\perp - 2)$$

$$M^2 = \frac{n^2}{R^2} + \frac{m^2 R^2}{\alpha'^2} + \frac{2}{\alpha'}(N^\perp + \bar{N}^\perp - 2)$$

17.6: State space

Consider the state $|p^\mu, m, n\rangle$

1) Lowest lying state is $|p^\mu, 0, 0\rangle$. Here $m = n = 0, N^\perp = \bar{N}^\perp = 0$.

$$M^2 = -\frac{4}{\alpha'} \quad \text{tachyon}$$

2) $N^\perp = \bar{N}^\perp = 1, m = n = 0$

$$\Rightarrow M^2 = 0, \text{ massless!}$$

These states are $(\mu, \nu: 0, 1, \dots, 24)$

$$\alpha_{-1}^\mu \bar{\alpha}_{-1}^\nu |p, 0, 0\rangle$$

$g_{\mu\nu}, B_{\mu\nu}, \phi$ in $D = 25$ spacetime.

$$\alpha_{-1}^\mu \bar{\alpha}_{-1} |p, 0, 0\rangle$$

That's A_μ in $D = 25$ (Electromagnetism).

$$\alpha_{-1} \bar{\alpha}_{-1}^\nu |p, 0, 0\rangle$$

\tilde{A}_μ in $D = 25, (\widetilde{\text{EM}})$.

$$\alpha_{-1} \bar{\alpha}_{-1} |p, 0, 0\rangle$$

scalar!

These states you would get via Kaluza-Klein compactification for $D = 25 \rightarrow D = 26$

$$D = 26 \rightarrow g_{MN} = \begin{pmatrix} g_{\mu\nu} & A_\mu \\ A_\mu & \phi \end{pmatrix}$$

$$B_{MN} = \begin{pmatrix} B_{\mu\nu} & \tilde{A}_\mu \\ -\tilde{A}_\mu & 0 \end{pmatrix}$$

3) Massless states from m and/or $n \neq 0$?

If just one of m and n is non-zero $\Rightarrow N^\perp = \bar{N}^\perp$. If $N^\perp = \bar{N}^\perp \geq 1$ no massless states. If $N^\perp = \bar{N}^\perp = 0$ massless state for particular value of R (a scalar).

So try m and n both non-zero. Set $n = \pm 1, m = \pm 1$

$$\Rightarrow N^\perp - \bar{N}^\perp = 1, \quad \Rightarrow N^\perp = 1, \bar{N}^\perp = 0 \text{ interesting!}$$

$$M^2 = \frac{1}{R^2} + \frac{R^2}{\alpha'^2} - \frac{2}{\alpha'} = \left(\frac{1}{R} - \frac{R}{\alpha'} \right)^2$$

$M^2 = 0$ for $R = R^* = \sqrt{\alpha'}$: a smallest possible radius.

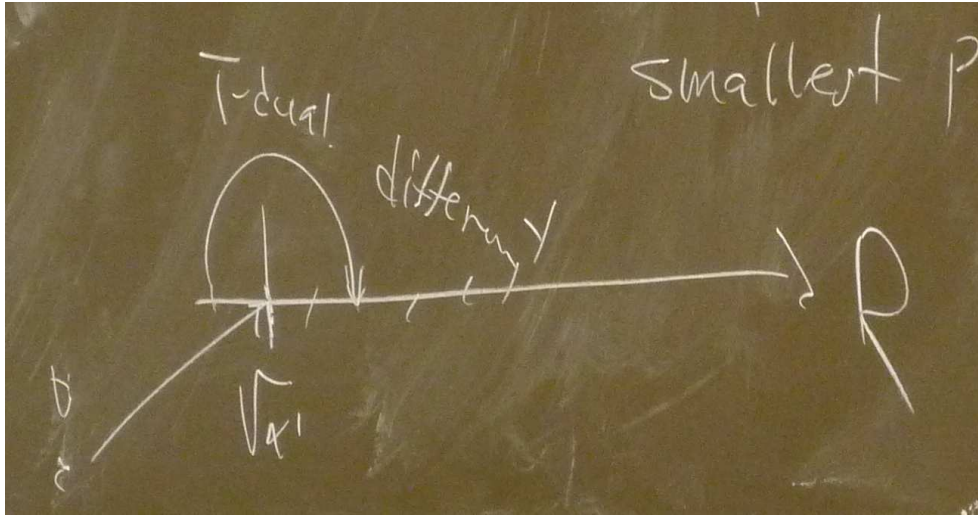


Figure 2.

What are the new massless states at R^*

$$\begin{cases} \bar{\alpha}_{-1}^{\mu} |p, \pm 1, \pm 1\rangle \\ \bar{\alpha}_{-1} |p, \pm 1, \pm 1\rangle \end{cases} \quad \begin{cases} \alpha_{-1}^{\mu} |p, \pm 1, \mp 1\rangle \\ \alpha_{-1} |p, \pm 1, \mp 1\rangle \end{cases}$$

i.e. four new vector states $A_{\mu}^{a=1,2,3,4}$ and four new scalar states.

Gauge symmetry: The two Kaluza-Klein vectors are $U(1)$'s (like electromagnetism). Adding the four other vectors we get $U(1) \times U(1) \rightarrow SU(2) \times SU(2)$. Symmetry enhancement.

17.7: Duality symmetry of the spectrum

T-duality: $R \leftrightarrow \tilde{R} = \alpha'/R$.

Fix point $R^* = \sqrt{\alpha'}$

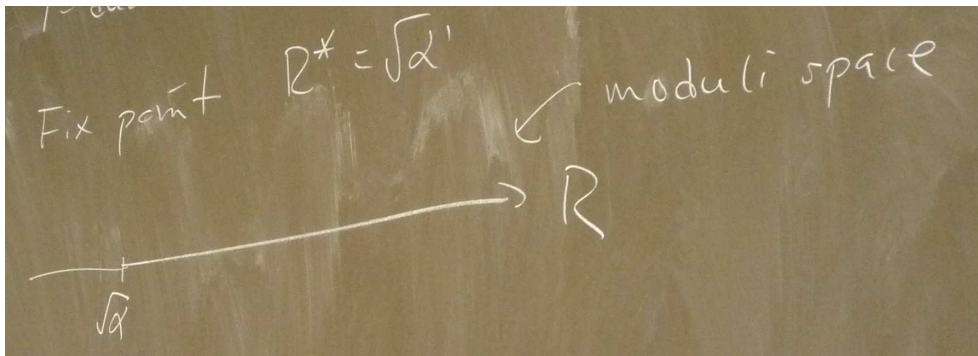


Figure 3. Moduli space

R is in fact the vacuum expectation value of a scalar field in string theory.

$$g_{MN} = \begin{pmatrix} g_{\mu\nu} & A_{\mu} \\ A_{\mu} & \phi \end{pmatrix}$$

Then

$$M^2(R, m, n) = M^2(\tilde{R}, n, m)$$

\Rightarrow T-dual physics is the same. (Can be proved also in the interacting theory.)

The "T" in T-dual stands for torii.