

Recall:

General linear group:  $GL(n, \mathbb{F})$  where  $\mathbb{F} = \mathbb{R}, \mathbb{C}, \mathbb{H}$ .

Special linear group ( $\det g = 1$ ):  $SL(n, \mathbb{F})$ . OK for  $\mathbb{F} = \mathbb{R}, \mathbb{C}$ . What if  $\mathbb{F} = \mathbb{H}$ ? We would need a definition of a determinant that defines the order of the factors involved.

Metric preserving groups: We had  $G = \mathbb{1}_{n \times n}$ :

$$\mathbb{R}: \quad O(n): \quad g^T G g = G$$

$$\mathbb{C}: \quad U(n): \quad g^\dagger G g = G$$

$$\mathbb{H}: \quad Sp(n) \equiv Sp(n, \mathbb{H}): \quad g^\dagger G g = G$$

These are all compact groups. That is implied by the metric-preserving condition. There are also non-compact groups:

$$G = \begin{pmatrix} \mathbb{1}_p & \mathbf{0} \\ \mathbf{0} & -\mathbb{1}_q \end{pmatrix}, \quad \text{sign} = (p, q)$$

This leads to  $O(p, q)$  and  $U(p, q)$ .

We also have  $Sp(2n, \mathbb{R})$  and  $Sp(2n, \mathbb{C})$ : here the preserved metric is anti-symmetric.

$$G = \begin{pmatrix} \mathbf{0} & \mathbb{1}_n \\ -\mathbb{1}_n & \mathbf{0} \end{pmatrix}$$

EXAMPLE:  $g \in SL(2, \mathbb{R})$ .

$$g = \begin{pmatrix} a & b \\ c & d \end{pmatrix}, \quad \det g = 1 \quad \Rightarrow \quad ad - bc = 1.$$

Now consider  $g \in Sp(2, \mathbb{R})$ .

$$g = \begin{pmatrix} a & b \\ c & d \end{pmatrix}, \quad g^T \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} g = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \Rightarrow \begin{pmatrix} 0 & ad - bc \\ bc - ad & 0 \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \\ \Rightarrow \boxed{ad - bc = 1}$$

So  $SL(2, \mathbb{R}) \approx Sp(2, \mathbb{R})$ . Both non-compact. Also  $SU(1, 1)$  is isomorphic to these.

$$|\alpha|^2 - |\beta|^2 = 1, \quad \alpha, \beta \in \mathbb{C}.$$

EXAMPLE.

$$SU(2)/\mathbb{Z}_2 \approx SO(3)$$

(previous lecture). For higher  $SO(n)$  groups we don't have a relation to  $SU(n)$ , but need a new name: Spin.

$$Spin(n)/\mathbb{Z}_2 \approx SO(n)$$

$Spin(3)$  just happens to be  $SU(2)$ . For low values of  $n$  we have:

$$\begin{aligned} Spin(3) &\approx SU(2) \\ Spin(4) &\approx SU(2) \times SU(2) \\ Spin(5) &\approx Sp(2) \text{ (compact version)} \\ Spin(6) &\approx SU(4) \end{aligned}$$

And that's the end of that list. No other cases. You have to call  $\text{Spin}(7)$  by its name.

**Discrete groups** (subgroups of the Lie groups)

i).  $\text{GL}(n, \mathbb{Z})$ , meaning that  $\det g = \pm 1$ .

ii)  $\text{SL}(n, \mathbb{Z})$  meaning that  $\det g = +1$ .

iii)  $\text{PSL}(n, \mathbb{Z}) = \text{SL}(n, \mathbb{Z}) / \{1, -1\}$ . This is called the modular group. Important in string theory and all sorts of things.

iv)  $\text{O}(n, \mathbb{Z})$ ,  $\det g = \pm 1$ . Remember the condition of being compact:

$$\sum_j M_{ij} M_{ij} = 1 \quad (M^T M = \mathbb{1})$$

$\Rightarrow M$  must have at most one non-zero element  $\pm 1$  in each row and column.

EXAMPLE:  $\text{O}(3, \mathbb{Z})$ :

$$\begin{pmatrix} \pm 1 & & \\ & \pm 1 & \\ & & \pm 1 \end{pmatrix}, \begin{pmatrix} & \pm 1 & \\ & & \pm 1 \\ \pm 1 & & \end{pmatrix}, \begin{pmatrix} & & \\ & & \\ & & \end{pmatrix}, \begin{pmatrix} & & \\ & & \\ & & \end{pmatrix}, \begin{pmatrix} & & \\ & & \\ & & \end{pmatrix}, \begin{pmatrix} & & \\ & & \\ & & \end{pmatrix}$$

Thus  $\text{O}(3, \mathbb{Z})$  has  $2^3 \times 6 = 48$  elements. ( $2^n \times n!$ ).

So  $\text{O}(3, \mathbb{Z}) \supset S_3$  (or  $D_3$ )  $\supset A_3$ .

EXAMPLE:  $\text{SL}(2, \mathbb{Z})$ . This has infinite number of elements.

$$g = \begin{pmatrix} a & b \\ c & d \end{pmatrix}, \quad ad - bc = 1$$

*Statement:* for any  $(a, b) \in \mathbb{Z}^2$  there is a unique pair  $(c, d) \in \mathbb{Z}^2$ . This follows from Euclid's algorithm and Bézout's identity. For any  $(a, b)$  with  $\gcd = 1$  ( $a$  and  $b$  are coprime: no prime in common), then Euclid's algorithm gives us one  $(c, d)$  pair.

EXAMPLE:  $(a, b) = (17, 5)$ . Then

$$\begin{aligned} 17 &= 3 \times 5 + 2 \\ 5 &= 2 \times 2 + 1 \text{ (gcd)} \end{aligned}$$

Then  $1 = 5 - 2 \times 2 = 5 - 2 \times (17 - 3 \times 5) = -2 \times 17 + 7 \times 5$ . Then  $(c, d) = (-2, 7)$ , satisfying  $ad - bc = 1$ .

**Other discrete cases**  $\mathbb{F}_p$ . Galois number fields.  $\text{GL}(n, \mathbb{F}_p)$ ,  $\det g \neq 0$ .

Lie groups are differentiable manifolds.  $\text{SU}(2) \approx S^3$ . Can we get a metric on these groups?

A canonical metric: with  $g \in G$ :

$$\omega = g^{-1} dg$$

$$g = g(x^i).$$

$\text{SL}(2, \mathbb{R})$ ,  $ad - bc = 1 \Rightarrow$  3-dimensional manifold.

$$\omega = dx^i (\dots)^i$$

This is called a Maurer-Cartan form.

$$ds^2 = -\frac{1}{2} \text{Tr}(\omega^2)$$

Use this also on cosets:  $G/H$  and  $H$  is not invariant ( $\mathrm{SO}(8)/\mathrm{SO}(7) \approx S^7$ ). Metric on  $S^7$ :

$$\omega = g D g, \quad D = d + A, \quad A \in \mathrm{Lie} H$$

$$ds^2 = -\frac{1}{2} \mathrm{Tr}(\omega^2)$$

EXAMPLE:  $\mathrm{SU}(2) \approx S^3$ . There is a  $\mathrm{U}(1)$  subgroup.  $\mathrm{SU}(2)/\mathrm{U}(1) \approx S^2$ . This is the same as saying that  $S^3/\mathrm{U}(1) \approx S^2$ . That is called Hopf fibration.  $S^3 = S^2 \times_{\mathrm{tw}} \mathrm{U}(1)$ : you need to twist the product. That's the thing you do with the  $A$  in the covariant derivative:  $D = d + A$  where  $A$  is a monopole of charge 1.

In fact:  $S^7 = S^4 \times_{\mathrm{tw}} S^3$  instanton of charge one.

#### Chapter 4: Lie Algebras

Lie groups are rather tricky objects depending a lot on the choice of coordinates. Issue: how do we determine whether two Lie groups are isomorphic or not? Isomorphic means

- i) topologically the same
- ii) composition rules must be the same.

This is difficult to use: Reason: (ii) is a very non-linear problem.

EXAMPLE:  $\mathrm{SL}(2, \mathbb{R})$

$$g = \begin{pmatrix} 1+x_1 & x_2 \\ x_3 & \frac{1+x_2x_3}{1+x_1} \end{pmatrix}, \quad \det g = 1 + x_2x_3 - x_2x_3 = 1$$

$$g(x)g(y) = g(z), \quad z_i = z_i(x, y)$$

These are very non-linear. Exercise: get them!

Can we simplify the situation? Try to linearize the problem: Let  $x_i \rightarrow \delta x_i$  small.

Note  $g(0, 0, 0) = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ .

Expand  $g$  to first order in  $\delta x_i$ :

$$\begin{aligned} g &\simeq \begin{pmatrix} 1+\delta x_1 & \delta x_2 \\ \delta x_3 & 1-\delta x_1 \end{pmatrix} + \mathcal{O}(\delta x^2) = \\ &= \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + \delta x_1 \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} + \delta x_2 \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} + \delta x_3 \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} + \mathcal{O}(\delta x^2) \end{aligned}$$

So close to the identity element  $\mathbb{1} = g(0, 0, 0)$  we have obtained three matrices:

$$T_1 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad T_2 = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad T_3 = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

called the *generators* of  $\mathrm{SL}(2, \mathbb{R})$ .

$$T_i = \left. \frac{\partial g}{\partial x_i} \right|_{(0,0,0)}$$

$$\Rightarrow [T_1, T_2] = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} - \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} - \begin{pmatrix} 0 & -1 \\ 0 & 0 \end{pmatrix} = 2T_2$$

$$\begin{cases} [T_1, T_2] = 2T_2 \\ [T_1, T_3] = -2T_3 \\ [T_2, T_3] = T_1 \end{cases}$$

Lie algebra of  $\text{SL}(2, \mathbb{R})$ , called  $\mathfrak{sl}(2, \mathbb{R})$ .

EXAMPLE:  $\text{SU}(2)$ . In Euler angles  $\alpha, \beta, \gamma$ :

$$U(\alpha, \beta, \gamma) = \begin{pmatrix} e^{-\frac{i}{2}(\alpha+\gamma)} \cos \frac{\beta}{2} & -e^{-\frac{i}{2}(\alpha-\gamma)} \sin \frac{\beta}{2} \\ e^{\frac{i}{2}(\alpha-\gamma)} \sin \frac{\beta}{2} & e^{\frac{i}{2}(\alpha+\gamma)} \cos \frac{\beta}{2} \end{pmatrix}$$

The unit element is at  $\alpha = \beta = \gamma = 0$ :  $U = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ .

Try to get the generators as

$$\left. \frac{\partial U}{\partial \alpha} \right|_{(0,0,0)}$$

But at  $\beta = 0$ ,  $\alpha$  and  $\gamma$  appear in the same way.

$$\Rightarrow \frac{\partial U}{\partial \alpha} = \frac{\partial U}{\partial \gamma} \text{ at } (0, 0, 0).$$

So here we find only two of the three generators. Reason:  $\alpha, \beta, \gamma$  are bad coordinates at the origin.

Find another set of coordinates:

$$\text{SU}(2): \quad g = \begin{pmatrix} \alpha & \beta \\ -\bar{\beta} & \bar{\alpha} \end{pmatrix}, \quad |\alpha|^2 + |\beta|^2 = 1$$

$$\Rightarrow \begin{cases} \alpha = x + iy \\ \beta = z + iw \end{cases} \Rightarrow x^2 + y^2 + z^2 + w^2 = 1$$

$$\begin{cases} x = \sin \theta_1 \sin \theta_2 \cos \varphi \\ y = \sin \theta_1 \sin \theta_2 \sin \varphi \\ z = \sin \theta_1 \cos \theta_2 \\ w = \cos \theta_1 \end{cases}, \quad \begin{cases} \varphi: 0 \rightarrow 2\pi \\ \theta_1, \theta_2: 0 \rightarrow \pi \end{cases}$$

Now we have to check where the unit matrix sits.

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \text{ is at } \theta_1 = \theta_2 = \frac{\pi}{2}, \quad \varphi = 0$$

$$\Rightarrow x = 1, y = z = w = 0.$$

$$\left. \frac{\partial U}{\partial \theta_1} \right|_{\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}} = \begin{pmatrix} 0 & i \frac{\partial w}{\partial \theta_1} \\ i \frac{\partial w}{\partial \theta_1} & 0 \end{pmatrix} = \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix} = i \sigma^1$$

$$\left. \frac{\partial U}{\partial \theta_2} \right|_{\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}} = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} = -i \sigma^2$$

$$\left. \frac{\partial U}{\partial \varphi} \right|_{\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}} = i \sigma^3$$

$$\left( \frac{\partial z}{\partial \theta_2}: z \rightarrow -z \Rightarrow -i \sigma^2 \rightarrow +i \sigma^2 \right)$$

Denote  $J_i = i \sigma^i$

$$[J_i, J_k] = -2\varepsilon_{ijk} J_k$$

using that  $[\sigma^1, \sigma^2] = 2i\sigma^3$  etc.

This is the  $SU(2)$  Lie algebra!

In Sakurai they talk about  $T^a = \frac{1}{2}\sigma^a$ . Then  $[T^a, T^b] = i\varepsilon^{abc}T^c$ . These are Hermitian. The  $J_i$  are anti-Hermitian.

EXAMPLE:  $SO(3)$ . Rotations around the  $z$ -axis (or in the  $xy$  plane):

$$R = \begin{pmatrix} \cos \phi & -\sin \phi & 0 \\ \sin \phi & \cos \phi & 0 \\ 0 & 0 & 1 \end{pmatrix} \simeq \begin{pmatrix} 1 & & \\ & 1 & \\ & & 1 \end{pmatrix} + \phi \begin{pmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} + \mathcal{O}(\phi^2)$$

This works for any rotation in any plane, in any dimension. The  $xy$  generator

$$T^3 = T^{12} = \begin{pmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

or

$$(T^{12})_{kl} = -2\delta_{kl}^{12}$$

$$\delta_{kl}^{ij} \equiv \frac{1}{2}(\delta_k^i \delta_l^j - \delta_k^j \delta_l^i)$$

In general

$$(T^{ij})_{kl} = -2\delta_{kl}^{ij}$$

In three dimensions we can use the notation with rotation axis rather than rotation plane:

$$(T^i)_{kl} \sim \varepsilon^{ikl}$$

The Lie algebra:

$$[T^{ij}, T^{kl}] = 4\delta_{[k}^{[i} T^{j]}_{l]}$$

Exercise: Check!

A better definition for  $SO(N)$  is

$$(M^{ij})_{kl} = \delta_{kl}^{ij}$$

$$\Rightarrow [M^{ij}, M^{kl}] = -8\delta_{[k}^{[i} M^{j]}_{l]}$$

In physics (General Relativity) we use covariant derivatives with spin connections:  $\omega_\mu \in \text{Lie algebra of } SO(N)$ . Acting on Lorentz vectors:  $\omega_\mu{}^k{}_j V^j = \omega_\mu{}^{ij} (M^{ij})^k{}_l V^l$ . Now it is convenient to have defined  $(M^{ij})^k{}_l$  without any strange factors.

The  $SO(3)$  Lie algebra for the  $T^a$ 's:

$$[T^a, T^b] = -\varepsilon^{abc} T^c$$

This is identical to  $SU(2)$  Lie algebra. But the  $T^a$  are now real  $3 \times 3$  and antisymmetric, i.e. anti-hermitian like the  $J_i$  in  $\mathfrak{su}(2)$  above related by  $-\frac{1}{2}$  to  $J_i = +\frac{i}{2}\sigma_i$ .